EXHIBIT 13

Lisa N. Himes

From:

Ovall, Jeffery L. <OvallJ@SEC.GOV>

Sent: Friday, September 21, 2018 2:22 PM

To: James A. Hinds

Cc: BJames@JamesAA.com; Mayra Duran; Taylor, Felecia; Rachel M. Sposato

Subject: RE: 18-01818-FOIA

Thanks, Mr. Hinds. I'll discuss with Ms. Taylor on Monday to see what time works for her. I'm okay with anytime on the 27th, but we'll get back to you next week with a confirmed time.

Regarding your question about processing records responsive to Items 1 to 4 vs. Items 5 to 11; judging by the lower number of responsive hits/emails for Items 1 to 4, we can presume they would be quicker to process. But we can discuss on the 27^{th} .

Thanks

From: James A. Hinds [mailto:JHinds@jhindslaw.com]

Sent: Friday, September 21, 2018 1:48 PM

To: Ovall, Jeffery L.

Cc: BJames@JamesAA.com; Mayra Duran; Taylor, Felecia; Rachel M. Sposato

Subject: RE: 18-01818-FOIA

Importance: High

Thank you Mr. Ovall for your response. We are processing the SEC FOIA response and would like to hold a brief conference call with you and Felecia Taylor next week to fully understand the stated estimate of "36 months or more before we begin to process the request." Going into this call we will need to confirm that the SEC FOIA search for items ## 1-4 can still be completed on a much shorter time line than the review and analysis of items ## 5-11. Mr. Sposato and I are open on the 27th, all day for a call. Please give us a time which would work for the two of you. Thanks.

James Andrew Hinds, Jr., Managing Member Hinds & Shankman, LLP 21257 Hawthorne Boulevard 2nd Floor Torrance, CA 90503

Tel: (310) 316-0500 Fax: (310) 792-5977

E-mail: jhinds@jhindslaw.com

Web: www.jhindslaw.com

CONFIDENTIALITY NOTICE: The information contained in this e-mail transmission is intended only for use of the individual or entity named above. This e-mail transmission, and any documents, files, previous e-mail transmissions or other information attached to it, may contain confidential information that is legally privileged. If you are not the intended recipient of this e-mail transmission, or the employee or agent responsible for

Case 1:19-cv-00666 Document 1-17 Filed 03/08/19 Page 3 of 6

delivering it to the intended recipient, you are hereby notified that any disclosure, dissemination, copying, or other use of this transmission or any of the information contained in or attached to it is strictly prohibited. If you have received this e-mail transmission in error, please immediately notify us by return e-mail transmission or by telephone at (310) 316-0500, and destroy the original e-mail transmission and its attachments without reading it or saving it in any manner. Thank you.

From: Ovall, Jeffery L. [mailto:OvallJ@SEC.GOV]

Sent: Friday, September 21, 2018 5:48 AM

To: James A. Hinds

Cc: BJames@JamesAA.com; Mayra Duran; Taylor, Felecia

Subject: FW: 18-01818-FOIA

Good morning, Mr. Hinds. I'm working with Ms. Taylor on your FOIA request since her previous branch chief retired a couple weeks ago.

In response to your August 24, 2018 letter, we received the results from our Office of Information Technology yesterday, showing the number of "hits" (or emails) that were generated using the search terms and dates cited in your letter. The results are shown below. We estimate that each e-mail may contain between one and ten pages, and if the email contains an attachment, its page-count could be much larger. As such, your request still qualifies for processing under the Complex Track, which we estimate may take 36 months or more before we begin to process the request. Please review the information below and let us know how you wish to proceed.

- 1. Jan 1, 2013 through the present, for the e-mail address of Marshallmasko@amerivision.us. 80
- 2. Jan 1, 2013 through the present, for the e-mail address of Marshall.ameritech@gmail.com. 79
- 3. Jan 1, 2013 through the present, for the e-mail address for blairmowery@amerivision.us. 88
- 4. Jan 1, 2013 through the present, for the e-mail address for blair.ameritech@gmail.com. 122
- 5. Jan 1, 2008 through the present, "Peter and Pocklington" 9,476
- 6. Jan 1, 2008 through the present, "Lantson and Eldred" 4,045
- 7. Jan 1, 2013 through the present, "Eva and Pocklington" 2,408
- 8. Jan 1, 2013 through the present, "Nova and Oculus" 16,392
- 9. Jan 1, 2008 through the present, "David and Casselman" 17,459
- 10. Jan 1, 2008 through the present, "Wasserman, Comden, Casselman & Esensten" 241
- 11. Jan 1, 2013 through the present, "Amerivision and International" 880

If you have any questions, please do not hesitate to contact Ms. Taylor or myself. We will need a determination from your office by <u>Sept. 28</u>, whether to place your request into our Complex track. If we don't hear from you by then, we will administratively close your request. This does not preclude you from submitting a new FOIA request at any time.

Thank you,

Jeff Ovall

FOIA Branch Chief
FOIA/Privacy Act Office
Securities and Exchange Commission
100 F Street, NE
Room 2738, Station Place 2
Washington, DC 20549
Phone (202) 551-6376
Ovalli@sec.gov

From: James A. Hinds [mailto:JHinds@jhindslaw.com] **Sent:** Wednesday, September 12, 2018 1:07 PM

To: Taylor, Felecia

Cc: Rachel M. Sposato; Becky S. James (BJames@JamesAA.com) (BJames@JamesAA.com)

Subject: RE: Freedom of Information Act Request

Thank you for the prompt response. However, I recall during our conference call you indicated that the Office of Information Technology's review generally takes 10-days. We are well past the 10-day mark which is why we are requested an update. I also understood that the search for items ## 1-4, which were not revised by my letter of August 24, could be completed quicker than the review and analysis of items ## 5-11 where revisions were made to the search parameters. Please keep us posted on your progress.

James Andrew Hinds, Jr., Managing Member Hinds & Shankman, LLP 21257 Hawthorne Boulevard 2nd Floor Torrance, CA 90503

Tel: (310) 316-0500 Fax: (310) 792-5977

E-mail: jhinds@jhindslaw.com

Web: www.jhindslaw.com

CONFIDENTIALITY NOTICE: The information contained in this e-mail transmission is intended only for use of the individual or entity named above. This e-mail transmission, and any documents, files, previous e-mail transmissions or other information attached to it, may contain confidential information that is legally privileged. If you are not the intended recipient of this e-mail transmission, or the employee or agent responsible for delivering it to the intended recipient, you are hereby notified that any disclosure, dissemination, copying, or other use of this transmission or any of the information contained in or attached to it is strictly prohibited. If you have received this e-mail transmission in error, please immediately notify us by return e-mail transmission or by telephone at (310) 316-0500, and destroy the original e-mail transmission and its attachments without reading it or saving it in any manner. Thank you.

From: Taylor, Felecia [mailto:TaylorF@SEC.GOV] **Sent:** Wednesday, September 12, 2018 7:41 AM

To: Mayra Duran; James A. Hinds

Cc: Rachel M. Sposato; Becky S. James (BJames@JamesAA.com) (BJames@JamesAA.com)

Subject: FW: Freedom of Information Act Request

Ms. Duran and Mr. Hinds,

We are still consulting with our Office of Information Technology (OIT) for records responsive to items 1 thru 11, as requested in your letter dated August 24, 2018. As soon as we complete our consultation, you will be notified immediately of our findings. On August 27, 2018, your entire request including items 1 through 4 (same records requested in your August 6, 2018 letter) were referred to OIT for processing.

If you have any additional questions or concerns, please do not hesitate to contact me.

Thank you,

Felecia Taylor
FOIA Lead Research Specialist
U.S. Securities & Exchange Commission
Office of FOIA Services
100 F Street, NE
Washington, DC 20549-2465
(202) 551-8349 - telephone number
(202) 772-9337 - fax number

From: Mayra Duran [mailto:mduran@jhindslaw.com] Sent: Tuesday, September 11, 2018 12:49 PM

To: Taylor, Felecia; foiapa; henshalld@sec.gov

Cc: Rachel M. Sposato; James A. Hinds; bjames@jamesaa.com

Subject: Freedom of Information Act Request

Please see attached letter dated today.

Thank you,

Mayra Duran Hinds & Shankman, LLP 21257 Hawthorne Blvd., Second Floor Torrance, California 90503 Telephone: (310) 316-0500 / Fax: (310) 792-5977

E-mail: mduran@jhindslaw.com
Web: www.jhindslaw.com

CONFIDENTIALITY NOTICE: The information contained in this e-mail transmission is intended only for use of the individual or entity named above. This e-mail transmission, and any documents, files, previous e-mail transmissions or other information attached to it, may contain confidential information that is legally privileged. If you are not the intended recipient of this e-mail transmission, or the employee or agent responsible for delivering it to the intended recipient, you are hereby notified that any disclosure, dissemination, copying, or other use of this transmission or any of the information contained in or attached to it is strictly

prohibited. If you have received this e-mail transmission in error, please immediately notify us by return e-mail

Case 1:19-cv-00666 Document 1-17 Filed 03/08/19 Page 6 of 6

transmission or by telephone at (310) 316-0500, and destroy the original e-mail transmission and its attachments without reading it or saving it in any manner. Thank you.